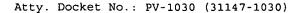
PTO/SB/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/734,404 **TRANSMITTAL** Filing Date December 12, 2003 **FORM** First Named Inventor Esch Art Unit 2873 (to be used for all correspondence after initial filing) Examiner Name Unassigned Attorney Docket Number PV-1030 (31147-1030) Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form to Technology Center (TC) Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final **Provisional Application** Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer **Extension of Time Request** Identify below): Return Postcard Request for Refund **Express Abandonment Request** Copy of publication # WO01/97742 A2 CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Nicola A. Pisano uce, Forward, Hamilton & Scripps LLP Individual name Signature Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name ₋eigh Ą Coleman Date Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.





## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|           | lication of:<br>cor Esch  | )           | Group Art Unit:   | 2873 |
|-----------|---|-------------|-------------------|------|
| Serial No | .: 10/734,404   | )           |                   |      |
| Filed:    | December 12, 2003   | )           | Confirmation No.: | 1175 |
| For:      | LENS SYSTEM AND METHOD FOR POWER ADJUSTMENT USING EXTERNALLY ACTUATED MICROPHIMPS | )<br>)<br>) |                   |      |

Mail Stop: AMENDMENT Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97 (b)

Dear Sir or Madam:

In accordance with 37 C.F.R. § 1.56, applicant makes the references cited on the accompanying form PTO/SB/08a of record in the above-identified application. In accordance with 37 C.F.R. § 1.97 (b), this statement is not to be construed as a representation that a search has been made, and is not a representation that the information cited is effective as prior art to the application or is material to patentability.

It is respectfully requested that these documents be (1) fully considered by the U.S. Patent and Trademark Office in the examination of the above-identified application; and (2) printed on any patent which may issue on this application. It is also respectfully requested that a copy of Form PTO/SB/08a, as considered and initialed by the Examiner, be returned to the undersigned with the next communication in accordance with M.P.E.P. § 609.

As this submission is filed before the mailing of the first Office Action, Applicant believes there are no fees due at this time. In the event that a fee is required, please charge our Deposit Account No. 50-2298, in the name of Luce, Forward, Hamilton & Scripps LLP.

Respectfully submitted,

June 23, 2004

Date

Nicola A. Pisano

Attorney for Applicant(s)

Reg. No. 34,408

c/o

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PTO/SB/08A (08-03)

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1

| Complete if Known      |                      |  |  |  |
|------------------------|----------------------|--|--|--|
| Application Number     | 10/734,404           |  |  |  |
| Filing Date            | 12/12/2003           |  |  |  |
| First Named Inventor   | Esch                 |  |  |  |
| Art Unit               | 2873                 |  |  |  |
| Examiner Name          | Unassigned           |  |  |  |
| Attornoy Docket Number | D\/ 1020 /2114 1020\ |  |  |  |

| U. S. PATENT DOCUMENTS |                          |   |                                |  |   |  |
|------------------------|--------------------------|---|--------------------------------|--|---|--|
| Examiner<br>Initials*  | Cite<br>No. <sup>1</sup> | Document Number                             | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |  |
|                        | <del>-</del>             | Number-Kind Code <sup>2 (# known)</sup> US- |                                | · · · · · · · · · · · · · · · · · · ·              | T iguico / ppodi  |  |
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|--------------------|--------------|---|---------------------|--|---|---|
| Examiner Initials* | Cite<br>No.1 | Foreign Patent Document   | Publication<br>Date | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages | Π |
|                    |              | Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known) | MM-DD-YYYY          |  | Or Relevant Figures Appear                        | Т |
|                    |              | WO 01/97742 A2  | 12-27-2001          | Proteus Vision, LLC                                |   |   |
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| Examiner  |  | Date       |  |
|-----------|--|------------|--|
| Signature |  | Considered |  |
| •         |  |            |  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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